



AthenaInvest Advisors LLC

AthenaInvest, Inc.

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Business Continuity Plan Disclosure

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Athena has implemented and maintains a comprehensive Business Continuity Plan to ensure ongoing operations in the event of a natural or man-made disaster or business interruption. This plan is focused on restoring all necessary systems to normal function as efficiently as possible following an unexpected event that impacts normal business operations.

Athena's Business Continuity Plan is reviewed and tested regularly to ensure completeness and efficacy. The plan covers the following areas:

- Ability to communicate with clients, partners, vendors and regulators
- Protecting client and firm data
- Ability to service client requests
- Resumption of key operational functions and systems
- Ensuring clear roles, responsibilities, and organizational stability

Athena has plans in place to recover and resume normal business operations in the case of significant business disruptions, including a total loss of tangible assets at the firm's primary business location. The recovery plan provides for alternate physical location of employees, alternative client, employee, and regulator communications, and protection of client and firm data.

Data Protection

Athena has designed and implemented a robust data infrastructure to ensure redundancy and security of all client and firm data. All sensitive Athena data are encrypted, backed-up to multiple hardware and geographic locations, and secured with best-practice operational procedures. Backup and recovery are tested regularly to ensure proper functionality.